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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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2876



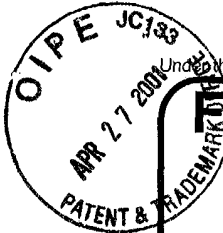
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/440,529
		Filing Date	November 15, 1999
		First Named Inventor	Pitroda, et al.
		Group Art Unit	2876
		Examiner Name	M. Tremblay
Total Number of Pages in This Submission	27	Attorney Docket Number	2683-76979

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notices, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Amended Claims Pursuant To § 1.121(C)(1)(ii) (12 pgs.)</div>
Remarks	TITLE OF INVENTION: POINT OF SALE ADAPTER FOR ELECTRONIC TRANSACTION DEVICE	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Walter J. Kawula, Jr., Esq. WELSH & KATZ, LTD.
Signature	
Date	4/23/2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope Addressed to: Commissioner for Patents, Washington, DC 20231 on this date:			
		April 23, 2001	
Typed or Printed Name	Walter J. Kawula, Jr.		
Signature		Date	4/23/2001
			April 23, 2001

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PTO/SB/17 (11-00)
Approved for use through 10/31/2003. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$55.00

Complete if Known

Application Number 09/440,529
Filing Date November 15, 1999
First Named Inventor Pitroda, et al
Examiner Name M. Tremblay
Group Art Unit 2876
Attorney Docket No. 2683-76979

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

23-0920

Deposit
Account
Name

Welsh & Katz, Ltd.

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR § 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

Fee Paid

101 710 201 355 Utility filing fee
106 320 206 160 Design filing fee
107 490 207 245 Plant filing fee
108 710 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid
Total Claims 20** = 0 X = 0.00
Independent Claims 3** = 0 X = 0.00
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20
102 80 202 40 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 80 209 40 ** Reissue independent claims
over original patent
110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2)

\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover
sheet
139 130 139 130 Non - English specification
147 2,520 147 2,520 For filing a request for *ex parte* reexamination
112 920* 112 920* Requesting publication of SIR prior to Examiner
action
113 1,840* 113 1,840* Requesting publication of SIR after Examiner
action
115 110 215 55 Extension for reply within first month
116 390 216 195 Extension for reply within second month
117 890 217 445 Extension for reply within third month
118 1,390 218 695 Extension for reply within fourth month
128 1,890 228 945 Extension for reply within fifth month
119 310 219 155 Notice of Appeal
120 310 220 155 Filing a brief in support of an appeal
121 270 221 135 Request for oral hearing
138 1,510 138 1,510 Petition to institute a public use proceeding
140 110 240 55 Petition to revive - unavoidable
141 1,240 241 620 Petition to revive - unintentional
142 1,240 242 620 Utility issue fee (or reissue)
143 440 243 220 Design issue fee
144 600 244 300 Plant issue fee
122 130 122 130 Petitions to the Commissioner
123 50 123 50 Processing fee under 37 CFR § 1.17(q)
126 180 126 180 Submission of Information Disclosure
Statement
581 40 581 40 Recording each patent assignment per property
(times number of properties)
146 710 246 355 Filing a submission after final rejection
(37 CFR § 1.129(a))
149 710 249 355 For each additional invention to be examined
(37 CFR § 1.129(b))
179 710 279 355 Request for Continued Examination (RCE)
169 900 169 900 Request for expedited examination
of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$55.00

SUBMITTED BY

Name (Print/Type) Walter J. Kawula, Jr., Esq.

Registration No.
(Attorney/Agent)

39,724

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(312) 655-1500

Signature

Walter J. Kawula, Jr.

Date

4/23/2001

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#7/A
TOKO
5/5/01
Date: April 23, 2001
File No.: 2683-76979

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pitroda et al ✓

Group No.: 2876

Serial No.: 09/440,529 ✓

Batch No.

Filed: November 15, 1999 ✓

Examiner: M. Tremblay

For: POINT OF SALE ADAPTER FOR
ELECTRONIC TRANSACTION
DEVICE ✓

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Amendment-Fee, Commissioner for Patents, Washington, DC 20231, on this date:

4/23/2001 *William J. K...*
Date Registration No.: 39,724
Attorney for Applicant(s)

Reply Pursuant To 37 C.F.R. §1.111

Box Amendment-Fee
Commissioner For Patents
Washington, D.C. 20231

Sir:

This is in reply to the Office Action mailed December 21, 2001 in the above referenced application. Applicants respectfully request reconsideration or further examination.

Please enter the amended claims as indicated below.

- Q1
Sub
B.1
1. (Amended) An adapter for use with point of sale card readers, the adapter comprising:
 - a) a housing, including at least a reader-insertable portion capable of being inserted in the card reader;
 - b) a receive circuit in the housing, the receive circuit adapted to receive information from an electronic transaction device;
 - c) a processor in the housing connected to the receive circuit; and
 - d) a point of sale interface in the reader insertable portion of the housing connected to the processor, the point of sale interface adapted to transmit information received from the electronic transaction device.